

## New York State Partnership Plan Projected 1115 Waiver Budget Neutrality Impact

Waiver Extension Reflects Current PMPM W/O Waiver Trend, 11.5% PMPM With Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.

Without Waiver Expenditures												
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03 (half year)	Current Estimated Waiver Total	DY 02-03 (half year - ext)	DY 03-04	DY 04-05	DY 05-06	Current Estimated Full Waiver Total
ADC Cap	\$4,541,350,786	\$4,757,458,212	\$5,216,736,384	\$6,038,224,263	\$6,358,010,645	\$3,447,468,662	\$30,359,248,952	\$3,448,666,055	\$7,483,336,605	\$8,121,713,649	\$4,408,646,432	\$53,821,611,693
ADC HR Conversions	<u>\$59,202,251</u>	<u>\$48,974,736</u>	<u>\$40,751,614</u>	<u>\$27,957,857</u>	<u>\$24,411,206</u>	<u>\$12,357,141</u>	<u>\$213,654,803</u>	<u>\$12,357,141</u>	<u>\$23,761,571</u>	<u>\$22,726,298</u>	<u>\$10,866,052</u>	<u>\$283,365,865</u>
ADC Cap Including HR Conv.	\$4,600,553,037	\$4,806,432,947	\$5,257,487,998	\$6,066,182,119	\$6,382,421,851	\$3,459,825,803	\$30,572,903,756	\$3,461,023,195	\$7,507,098,175	\$8,144,439,947	\$4,419,512,485	\$54,104,977,558
SSI Cap w/o HR Conversions	\$0	\$0	\$0	\$5,346,007,857	\$6,001,544,397	\$3,164,688,607	\$14,512,240,861	\$3,163,995,956	\$6,668,276,147	\$7,026,904,082	\$3,702,433,686	\$35,073,850,733
SSI HR Conversions	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$371,223,878</u>	<u>\$314,583,969</u>	<u>\$148,930,977</u>	<u>\$834,738,824</u>	<u>\$148,930,977</u>	<u>\$281,916,936</u>	<u>\$266,864,795</u>	<u>\$126,300,875</u>	<u>\$1,658,752,407</u>
SSI Cap Including HR Conv.	\$0	\$0	\$0	\$5,717,231,735	\$6,316,128,366	\$3,313,619,584	\$15,346,979,685	\$3,312,926,933	\$6,950,193,083	\$7,293,768,877	\$3,828,734,561	\$36,732,603,140
BBA DSH Cap	\$3,024,000,000	\$2,964,000,000	\$2,871,900,000	\$2,972,000,000	\$3,049,300,000	\$1,318,400,000	\$16,199,600,000	\$1,318,400,000	\$2,705,400,000	\$2,775,700,000	\$1,423,900,000	\$24,423,000,000
CHPlus PMPM Cap	\$250,260,000	\$0	\$0	\$0	\$0	\$0	\$250,260,000	\$0	\$0	\$0	\$0	\$250,260,000
FHP Adults w/Children Cap	\$0	\$0	\$0	\$0	\$87,046,920	\$173,124,250	\$260,171,170	\$206,229,754	\$518,719,557	\$651,639,503	\$359,052,918	\$1,995,812,902
W/O Waiver Total	<b>\$7,874,813,037</b>	<b>\$7,770,432,947</b>	<b>\$8,129,387,998</b>	<b>\$14,755,413,854</b>	<b>\$15,834,897,137</b>	<b>\$8,264,969,636</b>	<b>\$62,629,914,610</b>	<b>\$8,298,579,882</b>	<b>\$17,681,410,815</b>	<b>\$18,865,548,328</b>	<b>\$10,031,199,964</b>	<b>\$117,506,653,600</b>

With Waiver Expenditures												
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03 (half year)	Current Estimated Waiver Total	DY 02-03 (half year - ext)	DY 03-04	DY 04-05	DY 05-06	Current Estimated Waiver Total
ADC W/O Conversions	\$3,699,277,633	\$3,906,569,492	\$3,910,480,339	\$4,354,690,107	\$4,476,751,176	\$2,410,915,819	\$22,758,684,565	\$2,427,411,194	\$5,309,062,160	\$5,769,298,714	\$3,133,456,475	\$39,397,913,109
ADC HR Conversions	<u>\$46,750,557</u>	<u>\$40,400,196</u>	<u>\$33,701,898</u>	<u>\$23,691,209</u>	<u>\$20,577,917</u>	<u>\$10,288,959</u>	<u>\$175,410,736</u>	<u>\$10,288,959</u>	<u>\$20,577,917</u>	<u>\$20,577,917</u>	<u>\$10,288,959</u>	<u>\$237,144,488</u>
ADC Including Conversions	\$3,746,028,190	\$3,946,969,688	\$3,944,182,236	\$4,378,381,316	\$4,497,329,093	\$2,421,204,778	\$22,934,095,301	\$2,437,700,153	\$5,329,640,078	\$5,789,876,631	\$3,143,745,434	\$39,635,057,597
SSI W/O Conversions	\$0	\$0	\$0	\$4,802,435,215	\$5,545,879,160	\$3,096,554,768	\$13,444,869,143	\$3,117,741,254	\$6,936,970,279	\$7,652,436,334	\$4,218,728,062	\$35,370,745,071
SSI HR Conversions	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$321,696,649</u>	<u>\$279,422,083</u>	<u>\$139,711,041</u>	<u>\$740,829,773</u>	<u>\$139,711,041</u>	<u>\$279,422,083</u>	<u>\$279,422,083</u>	<u>\$139,711,041</u>	<u>\$1,579,096,021</u>
SSI Including Conversions	\$0	\$0	\$0	\$5,124,131,863	\$5,825,301,243	\$3,236,265,809	\$14,185,698,915	\$3,257,452,295	\$7,216,392,361	\$7,931,858,417	\$4,358,439,103	\$36,949,841,092
HR Total	\$1,809,293,612	\$1,951,491,026	\$2,034,054,082	\$2,121,134,289	\$2,182,369,833	\$1,079,848,573	\$11,178,191,414	\$1,087,236,847	\$2,377,959,991	\$2,604,927,843	\$1,426,208,978	\$18,674,525,073
Non HR DSH	\$1,860,500,000	\$1,978,000,000	\$2,233,300,000	\$2,386,000,000	\$2,491,700,000	\$1,027,800,000	\$11,977,300,000	\$909,800,000	\$2,468,200,000	\$2,624,000,000	\$1,423,900,000	\$19,403,200,000
Recov & Rebates	(\$72,032,476)	(\$109,701,586)	(\$125,382,974)	(\$357,004,582)	(\$357,004,582)	(\$178,502,291)	(\$1,199,628,491)	(\$178,502,291)	(\$357,004,582)	(\$357,004,582)	(\$178,502,291)	(\$2,270,642,237)
FHP Adults w/Children	\$0	\$0	\$0	\$0	\$82,931,799	\$184,118,513	\$267,050,311	\$185,378,243	\$534,880,848	\$707,156,082	\$409,840,882	\$2,104,306,367
FHP Adults w/o Children	\$0	\$0	\$0	\$0	\$58,075,789	\$156,407,679	\$214,483,468	\$157,477,813	\$542,641,897	\$605,002,841	\$334,429,333	\$1,854,035,352
CHCCDP Program	\$500,000,000	\$500,000,000	\$500,000,000	\$500,000,000	\$500,000,000	\$0	\$2,500,000,000	\$500,000,000	\$1,000,000,000	\$1,000,000,000	\$500,000,000	\$5,500,000,000
With Waiver Total	<b>\$7,843,789,326</b>	<b>\$8,266,759,127</b>	<b>\$8,586,153,344</b>	<b>\$14,152,642,886</b>	<b>\$15,280,703,174</b>	<b>\$7,927,143,060</b>	<b>\$62,057,190,918</b>	<b>\$8,356,543,060</b>	<b>\$19,112,710,593</b>	<b>\$20,905,817,232</b>	<b>\$11,418,061,440</b>	<b>\$121,850,323,243</b>
HR Conversions	\$508,010,450	\$588,058,561	\$491,331,030	\$345,387,858	\$300,000,000	\$150,000,000	\$2,382,787,899	\$150,000,000	\$300,000,000	\$300,000,000	\$150,000,000	\$3,282,787,899
Non DSH UPL Program	\$0	\$0	\$0	\$0	\$343,200,000	\$372,500,000	\$715,700,000	\$152,000,000	\$0	\$0	\$0	\$867,700,000
Expenditures (Over)/Under Cap	539,030,000	91,730,000	34,570,000	948,160,000	510,990,000	115,330,000	2,239,810,000	(59,960,000)	(1,131,300,000)	(1,740,270,000)	(1,236,860,000)	(1,928,580,000)

**1115 Waiver Budget Neutrality Impact**  
**Summary of Without Waiver and With Waiver Expenditures**  
**Waiver Extension Reflects Current PMPM W/O Waiver Trend, 11.5% PMPM With**  
**Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.**

	Without Waiver								
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
<b>ADC Kids</b>	\$1,590,415,903	\$1,504,866,519	\$1,429,777,483	\$1,447,636,580	\$1,411,547,372	\$1,544,764,414	\$1,693,555,252	\$1,856,132,502	\$1,017,158,389
<b>MA - ADC Kids</b>	\$1,425,336,372	\$1,710,925,870	\$2,238,400,013	\$3,008,083,117	\$3,337,029,934	\$3,659,614,340	\$4,011,679,597	\$4,396,791,232	\$2,409,436,331
<b>ADC Adults</b>	\$847,852,294	\$742,684,510	\$643,401,958	\$554,069,704	\$525,429,736	\$551,512,012	\$579,677,028	\$609,242,177	\$320,157,615
<b>MA - ADC Adults</b>	\$677,746,218	\$798,981,312	\$905,156,930	\$1,028,434,863	\$1,084,003,604	\$1,140,243,950	\$1,198,424,728	\$1,259,547,739	\$661,894,097
<b>ADC - Total</b>	<b>\$4,541,350,786</b>	<b>\$4,757,458,212</b>	<b>\$5,216,736,384</b>	<b>\$6,038,224,263</b>	<b>\$6,358,010,645</b>	<b>\$6,896,134,717</b>	<b>\$7,483,336,605</b>	<b>\$8,121,713,649</b>	<b>\$4,408,646,432</b>
<b>SSI - Blind and Disabled</b>	\$3,527,047,195	\$3,738,454,515	\$3,915,300,057	\$4,141,564,167	\$4,693,424,316	\$4,945,872,922	\$5,207,338,290	\$5,483,335,307	\$2,886,980,297
<b>MA SSI - Blind and Disabled</b>	\$597,081,961	\$632,716,481	\$699,600,151	\$756,777,259	\$848,063,378	\$893,511,304	\$940,803,859	\$990,667,924	\$521,587,432
<b>SSI - Aged</b>	\$205,197,288	\$221,398,083	\$218,282,236	\$232,975,682	\$228,280,285	\$242,804,106	\$258,105,360	\$274,365,209	\$145,824,689
<b>MA SSI - Aged</b>	\$191,451,842	\$200,403,809	\$202,421,580	\$214,690,749	\$231,776,419	\$246,496,230	\$262,028,639	\$278,535,642	\$148,041,268
<b>SSI Total</b>	<b>\$4,520,778,286</b>	<b>\$4,792,972,887</b>	<b>\$5,035,604,024</b>	<b>\$5,346,007,857</b>	<b>\$6,001,544,397</b>	<b>\$6,328,684,563</b>	<b>\$6,668,276,147</b>	<b>\$7,026,904,082</b>	<b>\$3,702,433,686</b>
<b>FHPlus Adults with Children</b>					\$87,046,920	\$379,354,004	\$518,719,557	\$651,639,503	\$359,052,918

With Waiver	With Waiver								
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
<b>ADC Kids</b>	\$1,431,050,474	\$1,359,940,380	\$1,143,306,888	\$1,134,393,180	\$1,086,885,755	\$1,189,516,625	\$1,303,395,571	\$1,412,674,392	\$765,227,941
<b>MA - ADC Kids</b>	\$938,444,513	\$1,112,588,005	\$1,331,549,364	\$1,758,826,731	\$1,912,614,796	\$2,095,055,767	\$2,300,467,629	\$2,498,521,786	\$1,356,261,604
<b>ADC Adults</b>	\$817,711,135	\$817,690,196	\$745,122,969	\$657,653,709	\$634,772,866	\$678,168,053	\$743,907,398	\$809,327,338	\$440,076,873
<b>MA - ADC Adults</b>	\$512,071,511	\$616,350,911	\$690,501,118	\$803,816,486	\$842,477,759	\$875,586,568	\$961,291,562	\$1,048,775,197	\$571,890,058
<b>ADC - Total</b>	<b>\$3,699,277,633</b>	<b>\$3,906,569,492</b>	<b>\$3,910,480,339</b>	<b>\$4,354,690,107</b>	<b>\$4,476,751,176</b>	<b>\$4,838,327,013</b>	<b>\$5,309,062,160</b>	<b>\$5,769,298,714</b>	<b>\$3,133,456,475</b>
<b>SSI - Blind and Disabled</b>	\$3,097,394,146	\$3,338,710,823	\$3,473,692,427	\$3,768,362,484	\$4,408,918,289	\$4,938,453,370	\$5,510,674,442	\$6,076,786,543	\$3,348,871,697
<b>MA SSI - Blind and Disabled</b>	\$516,219,635	\$542,186,516	\$553,988,507	\$613,050,809	\$705,240,660	\$791,706,465	\$885,382,882	\$978,434,724	\$540,339,437
<b>SSI Aged</b>	\$210,027,733	\$233,772,546	\$224,671,189	\$244,014,505	\$238,799,151	\$267,633,984	\$298,825,029	\$329,717,936	\$181,810,105
<b>MA SSI Aged</b>	\$135,405,148	\$151,534,952	\$164,245,067	\$177,007,417	\$192,921,061	\$216,502,203	\$242,087,925	\$267,497,131	\$147,706,822
<b>SSI Total</b>	<b>\$3,959,046,662</b>	<b>\$4,266,204,839</b>	<b>\$4,416,597,191</b>	<b>\$4,802,435,215</b>	<b>\$5,545,879,160</b>	<b>\$6,214,296,022</b>	<b>\$6,936,970,279</b>	<b>\$7,652,436,334</b>	<b>\$4,218,728,062</b>
<b>HR - Adults</b>	\$1,026,423,307	\$1,003,511,007	\$992,083,090	\$985,531,967	\$1,013,665,364	\$1,062,176,726	\$1,171,404,693	\$1,284,220,819	\$703,664,849
<b>MA HR Adults</b>	\$782,870,305	\$947,980,019	\$1,041,970,992	\$1,135,602,322	\$1,168,704,469	\$1,104,908,694	\$1,206,555,298	\$1,320,707,024	\$722,544,129
<b>HR Total</b>	<b>\$1,809,293,612</b>	<b>\$1,951,491,026</b>	<b>\$2,034,054,082</b>	<b>\$2,121,134,289</b>	<b>\$2,182,369,833</b>	<b>\$2,167,085,420</b>	<b>\$2,377,959,991</b>	<b>\$2,604,927,843</b>	<b>\$1,426,208,978</b>
<b>FHPlus Adults with Children</b>	\$0	\$0	\$0	\$0	\$82,931,799	\$369,496,756	\$534,880,848	\$707,156,082	\$409,840,882
<b>FHPlus Adults without Children</b>	\$0	\$0	\$0	\$0	\$58,075,789	\$313,885,492	\$542,641,897	\$605,002,841	\$334,429,333

# 1115 Waiver Budget Neutrality Impact

## Summary of Without Waiver and With Waiver PMPMs

Waiver Extension Reflects Current PMPM W/O Waiver Trend, 11.5% PMPM With Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.

Without Waiver									
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$173.81	\$190.47	\$208.63	\$228.54	\$250.33	\$274.28	\$300.70	\$329.57	\$361.20
MA - ADC Kids	\$254.59	\$279.01	\$305.67	\$334.89	\$366.89	\$402.04	\$440.71	\$483.02	\$529.39
ADC Adults	\$248.40	\$261.14	\$274.43	\$288.43	\$303.12	\$318.56	\$334.83	\$351.91	\$369.85
MA - ADC Adults	\$430.84	\$452.88	\$475.96	\$500.23	\$525.72	\$552.52	\$580.71	\$610.33	\$641.46
ADC - Total	<b>\$230.11</b>	<b>\$255.21</b>	<b>\$283.17</b>	<b>\$312.97</b>	<b>\$343.13</b>	<b>\$372.17</b>	<b>\$403.86</b>	<b>\$438.31</b>	<b>\$475.85</b>
SSI - Blind and Disabled	\$945.27	\$995.69	\$1,048.71	\$1,104.58	\$1,163.42	\$1,225.24	\$1,290.01	\$1,358.39	\$1,430.38
MA SSI - Blind and Disabl	\$1,741.82	\$1,834.45	\$1,931.93	\$2,034.61	\$2,142.74	\$2,256.46	\$2,375.90	\$2,501.82	\$2,634.42
SSI - Aged	\$773.69	\$822.50	\$874.29	\$929.36	\$987.88	\$1,050.09	\$1,116.27	\$1,186.59	\$1,261.34
MA SSI - Aged	\$1,124.56	\$1,195.47	\$1,270.75	\$1,350.80	\$1,435.87	\$1,526.30	\$1,622.48	\$1,724.69	\$1,833.34
SSI Total	<b>\$1,002.50</b>	<b>\$1,056.57</b>	<b>\$1,117.90</b>	<b>\$1,179.87</b>	<b>\$1,244.50</b>	<b>\$1,311.55</b>	<b>\$1,381.93</b>	<b>\$1,456.25</b>	<b>\$1,534.58</b>
FHPlus Adults with Children					\$343.45	\$360.97	\$379.38	\$398.73	\$419.06

With Waiver									
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$156.39	\$172.13	\$166.83	\$179.09	\$192.75	\$211.20	\$231.42	\$250.83	\$271.74
MA - ADC Kids	\$167.62	\$181.44	\$181.83	\$195.81	\$210.28	\$230.16	\$252.72	\$274.48	\$297.99
ADC Adults	\$239.57	\$287.51	\$317.82	\$342.35	\$366.20	\$391.72	\$429.69	\$467.48	\$508.39
MA - ADC Adults	\$325.52	\$349.36	\$363.09	\$390.98	\$408.58	\$424.28	\$465.81	\$508.20	\$554.24
ADC - Total	\$187.45	\$209.57	\$212.27	\$225.71	\$241.60	\$261.11	\$286.52	\$311.35	\$338.21
SSI - Blind and Disabled	\$830.12	\$889.22	\$930.43	\$1,005.04	\$1,092.90	\$1,223.40	\$1,365.16	\$1,505.40	\$1,659.23
MA SSI - Blind and Disabl	\$1,505.93	\$1,571.97	\$1,529.83	\$1,648.20	\$1,781.88	\$1,999.37	\$2,235.94	\$2,470.93	\$2,729.14
SSI Aged	\$791.90	\$868.47	\$899.88	\$973.39	\$1,033.40	\$1,157.48	\$1,292.37	\$1,425.98	\$1,572.60
MA SSI Aged	\$795.35	\$903.95	\$1,031.09	\$1,113.70	\$1,195.16	\$1,340.58	\$1,499.01	\$1,656.34	\$1,829.20
SSI Total	\$877.93	\$940.45	\$980.48	\$1,059.90	\$1,150.01	\$1,287.84	\$1,437.61	\$1,585.88	\$1,748.57
HR - Adults	\$547.31	\$617.70	\$688.10	\$743.47	\$787.92	\$826.41	\$911.39	\$999.16	\$1,094.95
MA HR Adults	\$543.00	\$613.01	\$638.19	\$686.25	\$690.00	\$651.57	\$711.51	\$778.82	\$852.17
HR Total	\$545.44	\$615.41	\$661.60	\$711.70	\$732.27	\$726.95	\$797.69	\$873.82	\$956.84
FHPlus Adults with Children					\$327.21	\$351.59	\$391.20	\$432.70	\$478.34
FHPlus Adults without Children					\$342.04	\$367.18	\$408.72	\$452.17	\$499.89

# 1115 Waiver Budget Neutrality Impact

## Summary of Member Months

	<b>DY 97-98 Actual</b>	<b>DY 98-99 Actual</b>	<b>DY 99-00 Actual</b>	<b>DY 00-01 Actual</b>	<b>DY 01-02 Projected</b>	<b>DY 02-03 Projected</b>	<b>DY 03-04 Projected</b>	<b>DY 04-05 Projected</b>	<b>DY 05-06 Projected</b>
<b>ADC Kids</b>	9,150,313	7,900,806	6,853,173	6,334,281	5,638,746	5,632,060	5,632,060	5,632,060	2,816,030
<b>MA ADC Kids</b>	5,598,556	6,132,131	7,322,930	8,982,302	9,095,451	9,102,719	9,102,719	9,102,719	4,551,360
<b>ADC Adults</b>	3,413,254	2,844,009	2,344,503	1,920,985	1,733,405	1,731,266	1,731,266	1,731,266	865,633
<b>MA - ADC Adults</b>	1,573,081	1,764,223	1,901,750	2,055,924	2,061,941	2,063,709	2,063,709	2,063,709	1,031,855
<b>ADC - Total</b>	<b>19,735,204</b>	<b>18,641,169</b>	<b>18,422,356</b>	<b>19,293,492</b>	<b>18,529,543</b>	<b>18,529,754</b>	<b>18,529,754</b>	<b>18,529,754</b>	<b>9,264,877</b>
<b>SSI - Blind and Disabled</b>	3,731,259	3,754,637	3,733,444	3,749,447	4,034,162	4,036,651	4,036,651	4,036,651	2,018,326
<b>MA SSI - Blind and Disabled</b>	342,792	344,908	362,125	371,952	395,785	395,978	395,978	395,978	197,989
<b>SSI Aged</b>	265,219	269,177	249,668	250,684	231,081	231,222	231,222	231,222	115,611
<b>MA SSI Aged</b>	170,246	167,636	159,293	158,936	161,419	161,499	161,499	161,499	80,749
<b>SSI Total</b>	<b>4,509,516</b>	<b>4,536,358</b>	<b>4,504,530</b>	<b>4,531,019</b>	<b>4,822,446</b>	<b>4,825,351</b>	<b>4,825,351</b>	<b>4,825,351</b>	<b>2,412,675</b>
<b>HR Adults</b>	1,875,380	1,624,591	1,441,772	1,325,586	1,286,501	1,285,296	1,285,296	1,285,296	642,648
<b>MA HR Adults</b>	1,441,741	1,546,447	1,632,696	1,654,796	1,693,781	1,695,772	1,695,772	1,695,772	847,886
<b>HR Total</b>	<b>3,317,121</b>	<b>3,171,038</b>	<b>3,074,468</b>	<b>2,980,382</b>	<b>2,980,282</b>	<b>2,981,068</b>	<b>2,981,068</b>	<b>2,981,068</b>	<b>1,490,534</b>
<b>FHPlus Adults with Children</b>					253,449	1,050,938	1,367,292	1,634,302	856,800
<b>FHPlus Adults without Children</b>					169,790	854,850	1,327,656	1,338,000	669,000